

# CONFLICT OF INTEREST QUESTIONNAIRE

FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

## OFFICE USE ONLY

Date Received

1 Name of person doing business with local governmental entity.

**PATRICK F SIDMONS, SIDMONS FIRE APPARATUS, INC.**

2

Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

3

Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

**NONE**

4

Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

**NONE**



325 North St. Paul Street  
Suite 800  
Dallas, Texas 75201-3852

214-953-4073 Direct  
214-953-4050 Fax

**Nora Wittstruck**  
Vice President  
Disclosure Services

nwittstruck@firstsw.com

January 9, 2007

Ms. Jeannie Hargis  
Finance Director  
City of Kerrville, Texas  
800 Junction Highway  
Kerrville, TX 78028

Re: Continuing Disclosure Service Agreement

Dear Ms. Hargis:

Thank you for engaging First Southwest Company (FSC) for your continuing disclosure services. Enclosed please find three copies of a renewal contract that retains FSC in its current capacity as the District's continuing disclosure agent. The proposed new agreement includes a fee increase of \$1,000 per annual filing (the first since the inception of our disclosure service nine years ago). The fee change was the result of additional staffing and technology resources pledged to our disclosure department to ensure we maintain a high standard of service for our clients while remaining abreast of regulatory changes implemented by the Securities and Exchange Commission or the Municipal Securities Rulemaking Board.

Please execute all three copies of the enclosed agreement, keeping one for your files and returning the other two to the address shown below. If you have any questions regarding the contract or our disclosure services in general, please do not hesitate to contact me. We look forward to providing high-quality services to you over the long term!

Sincerely,

A handwritten signature in blue ink that reads "Nora Wittstruck".

Nora Wittstruck  
Vice President

***Please return agreements to:***  
Attn: Tanya Calvit  
First Southwest Company  
325 N. St. Paul Street, Suite 800  
Dallas, Texas 75201

*60 Years of*  
**Building Trusted Financial Relationships**



**First Southwest Company**  
Investment Bankers Since 1946

325 North St. Paul Street  
Suite 800  
Dallas, TX 75201-3852

214-953-4037 Direct  
214-953-4050 Fax

## Memorandum

Tanya Calvit

tcalvit@firstsw.com

**Date:** January 9, 2007

**To:** Ms. Jeannie Hargis  
City of Kerrville, Texas

**Subject:** CIQ Form

---

Ms. Hargis,

As you know, Chapter 176 of the Texas Local Government Code went into effect on January 1, 2006. Please find attached our "Form CIQ" that is required to be filed with you in accordance with the statute. Please submit a copy to the City Secretary to post on the City's official website.

### *60 Years of* **Building Trusted Financial Relationships**

This communication is for information only, not an offer, solicitation or recommendation, nor an official confirmation of any financial transaction. It is not to be considered research. The information is considered to be reliable, but First Southwest Company does not warrant its completeness or accuracy, prices and availability are subject to change without notice. We may trade, have long or short positions, or act as a market maker in any financial instrument discussed herein. Clients should consult their own advisors regarding any accounting, legal or tax aspects. Investors are instructed to read the entire Official Statement to obtain information essential to the making of an informed investment decision.

# CONFLICT OF INTEREST QUESTIONNAIRE

FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

### OFFICE USE ONLY

Date Received

**1** Name of person doing business with local governmental entity.

FSC Disclosure Services, a division of First Southwest Company

**2**

Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

**3**

Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

FSC Disclosure Services, is a Division of First Southwest Company, which currently serves as financial advisor to the City of Kerrville. The Company has no affiliation or business relationship other than that with the City of Kerrville.

**4**

Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

FSC Disclosure Services, is a Division of First Southwest Company, which currently serves as financial advisor to the City of Kerrville. The Company has no affiliation or business relationship other than that with the City of Kerrville.

# CONFLICT OF INTEREST QUESTIONNAIRE

FORM CIQ

For vendor or other person doing business with local governmental entity

Page 2

**5** Name of local government officer with whom filer has affiliation or business relationship. (Complete this section only if the answer to A, B, or C is YES.)

This section, item 5 including subparts A, B, C & D, must be completed for each officer with whom the filer has affiliation or business relationship. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income from the filer of the questionnaire?

Yes

No

B. Is the filer of the questionnaire receiving or likely to receive taxable income from or at the direction of the local government officer named in this section AND the taxable income is not from the local governmental entity?

Yes

No

C. Is the filer of this questionnaire affiliated with a corporation or other business entity that the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

Yes

No

D. Describe each affiliation or business relationship.

**6** Describe any other affiliation or business relationship that might cause a conflict of interest.

FSC Disclosure Services does not believe that the Company's relationship with the City of Kerrville will create a conflict of interest.

**7** FSC Disclosure Services, a division of First Southwest Company

By: Nora Wittstrude

Signature of person doing business with the governmental entity

1/23/2007

Date